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P29981.A03 Customer No.: 07055

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Werner FUCHS

Group Art Unit: Not Assigned

Appln. No. : 10/582,113

Examiner: Not Assigned

Filed

: December 1, 2004

Confirmation No.: Not Assigned

For

: MEMBRANE FILTER SYSTEM COMPRISING PARALLEL CROSS-FLOW

FILTER MODULES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents U.S. Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicant hereby submits a copy the following documents for the Examiner's consideration.

- (1) International Patent Application No. WO 02/26363 published April 4, 2002, which is discussed in the instant application beginning in paragraph [0003].
- (2) Japanese Patent Application No. 2002-210336 published July 30, 2002, which is discussed in the instant application beginning in paragraph [0003]. Moreover, for the Examiner's convenience, Applicants submit herewith a copy of the English language abstract.

The following documents were cited in an International Search Report, dated April 12, 2005, conducted in International Patent Application No. PCT/EP2004/013602, which is a counterpart of the above-captioned application. In particular, the Examiner cited:

P29981.A03 Customer No.: 07055

(3) Japanese Patent Application No. JP 62 197106 A published August 31, 1987 cited as an "X" category document (i.e., particular relevance). The Examiner identified the abstract and Figs. 1 - 5 as relevant to claims 1, 7 - 9 and 11 - 13. Moreover, for the Examiner's convenience, Applicants submit herewith a copy of the English language abstract;

- (4) Japanese Patent Application No. JP 2003 144863 A published May 20, 2003 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract as relevant to claims 1 13. Moreover, for the Examiner's convenience, Applicants submit herewith a copy of the English language abstract;
- (5) International Publication No. WO 00/20104 published April 13, 2000 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract and Figs. 1 6 as relevant to claims 1 –
- 13. Moreover, for the Examiner's convenience, Applicants identify U.S. Patent Publication No. 2001/013272 A1 published August 16, 2001, which is identified by the Annex to the Search Report as a U.S. patent family member of the above-cited International publication; and
- (6) U.S. Patent 4,876,006 A published October 24, 1989 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract as relevant.

The following documents were cited in an International Preliminary Examination Report, dated February 7, 2006, conducted in International Patent Application No. PCT/EP2004/013602, which is a counterpart of the above-captioned application. In particular, the Examiner cited:

P29981.A03 Customer No.: 07055

(7) International Publication No. WO 00/20104, which corresponds to item (5) above;

(8) International Patent Application No. WO 02/26363, which corresponds to item (1) above; and

(9) Netherland Patent Publication 1006390.

Each of the above-cited documents are listed on the enclosed completed copy of the PTO-1449 Form. Accordingly, the Examiner is requested to consider these documents and to indicate such consideration be returning a signed and initialed copy of the PTO-1449 Form with the first official communication.

Moreover, copies of the non-U.S. patent documents are being submitted herewith. Additionally, an English-language copy of the International Preliminary Examination Report is being submitted herewith. However, pursuant to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the cited/listed U.S. patents and U.S. published patent applications are not enclosed herewith. Moreover, Germanlanguage copies of the International Search Report and the International Preliminary Examination Report have previously been submitted and are not being submitted herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicant notes that while this Information Disclosure Statement is being filed more than three months from the filing date, Applicant has not received a first action on the merits from the U.S. Patent and Trademark Office. Accordingly, consideration of the enclosed documents are required under 37 C.F.R. 1.97(b)(3).

However, if a first action on the merits has mailed prior to the filing date of this Supplemental Information Disclosure Statement, Applicant hereby authorizes Commissioner to

10582113 - GAU: 1777

P29981.A03 Customer No.: 07055

charge any fees necessary for the consideration of the documents cited herein to Deposit Account No. 19-0089.

Should there be any questions or comments, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,

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1058284e43 of GAU: 1777 Ruezoseko trobate: 02/05/2007 FORM PTO-1449 Atty. Docket No. J.S. Department of Commerce Application No. P29981 10/582,113 Patent and Trademark Office **Applicant** INFORMATION DISCLOSURE STATEMENT Werner FUCHS BY APPLICANT (Use several sheets if necessary) Filing Date Group December 1, 2004 Not Yet Assigned U.S. PATENT DOCUMENTS **EXAMINER** FILING DATE DATE NAME **CLASS SUBCLASS** IF APPROPRIATE INITIAL DOCUMENT NUMBER 2001/ 0 3 08/16/01 BLASÉ et al. 2 7 2 4 8 7 6 0 0 6 10/24/89 OHKUBO et al. FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY **CLASS** SUBCLASS YES NO 04/04/02 WLPO2 0 3 07/30/02 **JAPAN** 2002 1 3 6 9 7 1 0 08/31/87 **JAPAN** 62 1 6 2003 8 05/20/03 **JAPAN** 1 4 4 6 3 2 W.I.P.O. / 0 0 0 0 1 4 04/13/00 0 0 3 9 03/01/99 **NETHERLANDS** 6 0 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 1 English Language Abstract of JP 2002-210336. (7/30/02). 2 English Language Abstract of JP 62-197106. (8/31/87). 3 English Language Abstract of JP 2003-144863. (5/20/03). DATE CONSIDERED 10/15/09 /Ana Fortuna/ **EXAMINER** *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.